

PTO/SB/21 (02-04)

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/982,482
	Filing Date	October 18, 2001
	First Named Inventor	TRUCKAI, CSABA,
	Art Unit	3739
	Examiner Name	SHAY, DAVID M.
Total Number of Pages in This Submission	Attorney Docket Number	021447-000400US

ENCLOSURES (Check all that apply)				
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard PTO/SB/08A & PTO/SB/08B 151 Reference Copies		
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Firm or Individual name	Townsend and Townsend and Crew LLP James M. Heslin Reg. No. 29,541			
Signature				
Date	July 16, 2004			

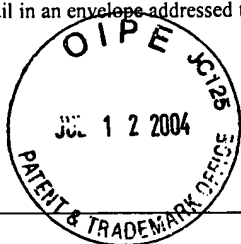
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PATENT #12/IPS  
Attorney Docket No.: 021447-000400US  
Client Reference No.: CTX-005

TOWNSEND and TOWNSEND and CREW LLP

By:

*Edward Masinas*

Edward Masinas

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

CSABA TRUCKAI et al.

Application No.: 09/982,482

Filed: October 18, 2001

For: ELECTROSURGICAL WORKING  
END FOR CONTROLLED ENERGY  
DELIVERY

Examiner: SHAY, DAVID M.

Art Unit: 3739

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER 37  
CFR §1.97 and §1.98

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Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

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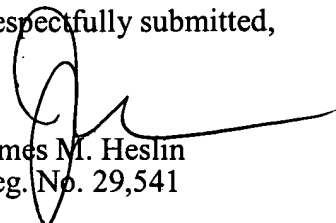
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Please charge the IDS fee of \$180 to Deposit Account No. 20-1430. Please  
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Respectfully submitted,



James M. Heslin  
Reg. No. 29,541

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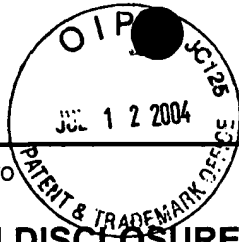
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Complete if Known</b>			
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		Art Unit	3739		
		Examiner Name	SHAY, DAVID M.		
Sheet	1	of	6	Attorney Docket Number	021447-000400US

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	659,409	10-09-1900	MOSHER	
	2	1,586,645	06-01-1926	BIERMAN	
	3	1,798,902	03-31-1931	RANEY	
	4	1,881,250	10-04-1932	TOMLINSON	
	5	2,031,682	02-25-1936	WAPPLER ET AL.	
	6	3,651,811	03-28-1972	HILDEBRANDT ET AL.	
	7	3,685,518	08-22-1972	BEUERLE ET AL.	
	8	3,730,188	05-01-1973	ELLMAN	
	9	3,768,482	10-30-1973	SHAW	
	10	3,826,263	07-30-1974	CAGE ET AL.	
	11	4,092,986	06-06-1978	SCHNEIDERMAN	
	12	4,198,957	04-22-1980	CAGE ET AL.	
	13	4,219,025	08-26-1980	JOHNSON	
	14	4,231,371	11-04-1980	LIPP	
	15	4,232,676	11-11-1980	HERCZOG	
	16	4,271,838	06-09-1981	LASNER ET AL.	
	17	4,353,371	10-12-1982	COSMAN	
	18	4,370,980	02-01-1983	LOTTICK	
	19	4,375,218	03-01-1983	DIGERONIMO	
	20	4,492,231	01-08-1985	AUTH	
	21	4,590,934	05-27-1986	MALIS ET AL.	
	22	4,608,981	09-02-1986	ROTHFUSS ET AL.	
	23	4,633,874	01-06-1987	CHOW ET AL.	
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	26	4,691,703	09-08-1987	AUTH ET AL.	
	27	4,763,669	08-16-1988	JAEGER	
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	29	4,848,337	07-18-1989	SHAW ET AL.	
	30	4,850,353	07-25-1989	STASZ ET AL.	
	31	4,887,612	12-19-1989	ESSER ET AL.	
	32	4,940,468	07-10-1990	PETILLO	
	33	4,958,539	09-25-1990	STASZ ET AL.	
	34	4,969,885	11-13-1990	FARIN	
	35	4,985,030	01-15-1991	MELZER ET AL.	
	36	5,009,656	04-23-1991	REIMELS	
	37	5,057,107	10-15-1991	PARINS ET AL.	
	38	5,085,659	02-04-1992	RYDELL	
	39	5,104,025	04-14-1992	MAIN ET AL.	
	40	5,122,137	06-16-1992	LENNOX	
	41	5,147,356	09-15-1992	BHATTA	

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet **2** of **6****Complete if Known**

Application Number	09/982,482
Filing Date	October 18, 2001
First Named Inventor	TRUCKAI, CSABA
Art Unit	3739
Examiner Name	SHAY, DAVID M.
Attorney Docket Number	021447-000400US

**U.S. PATENT DOCUMENTS+**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
	42	5,147,357	09-15-1992	ROSE ET AL.	
	43	5,151,102	09-29-1992	KAMIYAMA ET AL.	
	44	5,190,541	03-02-1993	ABELE ET AL.	
	45	5,201,900	04-13-1993	NARDELLA	
	46	5,207,691	05-04-1993	NARDELLA	
	47	5,258,006	11-02-1993	RYDELL ET AL.	
	48	5,267,998	12-07-1993	HAGEN	
	49	5,290,286	03-01-1994	PARINS	
	50	5,306,280	04-26-1994	BREGEN ET AL.	
	51	5,308,311	05-03-1994	EGGERS ET AL.	
	52	5,324,289	06-28-1994	EGGERS	
	53	5,336,221	08-09-1994	ANDERSON	
	54	5,360,428	11-01-1994	HUTCHINSON, JR.	
	55	5,364,389	11-15-1994	ANDERSON	
	56	5,389,098	02-14-1995	TSURUTA ET AL.	
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	58	5,417,687	05-23-1995	NARDELLA ET AL.	
	59	5,443,463	08-22-1995	STERN ET AL.	
	60	5,445,638	08-29-1995	RYDELL ET AL.	
	61	5,451,224	09-19-1995	GOBLE ET AL.	
	62	5,458,598	10-17-1995	FEINBERG ET AL.	
	63	5,480,397	01-02-1996	EGGERS ET AL.	
	64	5,480,398	01-02-1996	EGGERS	
	65	5,507,106	04-16-1996	FOX	
	66	5,531,744	07-02-1996	NARDELLA ET AL.	
	67	5,571,153	11-05-1996	WALLSTEN	
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	69	5,593,406	01-14-1997	EGGERS ET AL.	
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	71	5,624,452	04-29-1997	YATES	
	72	5,693,051	12-02-1997	SCHULZE ET AL.	
	73	5,716,366	02-10-1998	YATES	
	74	5,735,848	04-07-1998	YATES ET AL.	
	75	5,755,717	05-26-1998	YATES ET AL.	
	76	5,766,166	06-19-1998	HOOVEN	
	77	5,776,130	07-07-1998	BUYSSE ET AL.	
	78	5,797,938	08-25-1998	PARASCHAL ET AL.	
	79	5,800,449	09-01-1998	WALES	
	80	5,807,392	09-15-1998	EGGERS	
	81	5,833,690	11-10-1998	YATES et al.	
	82	5,911,719	06-15-1999	EGGERS	

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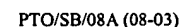
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Sheet	3	of	6	Attorney Docket Number	021447-000400US

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		Number Kind Code <sup>2</sup> (if known)			
	83	5,947,984	09-07-1999	WHIPPLE	
	84	6,039,733	03-21-2000	BUYSSE et al.	
	85	6,086,586	07-11-2000	HOOVEN	
	86	6,113,598	09-05-2000	BAKER	
	87	6,139,508	10-31-2000	SIMPSON et al.	
	88	6,152,923	11-28-2000	RYAN	
	89	6,162,220	12-19-2000	NEZHAT	
	90	6,174,309	01-16-2001	WRUBLEWSKI et al..	
	91	6,176,857	01-23-2001	ASHLEY	
	92	6,179,834	01-30-2001	BUYSSE et al.	
	93	6,179,837	01-30-2001	HOOVEN	
	94	6,187,003	02-13-2001	BUYSSE et al.	
	95	6,190,386	02-20-2001	RYDELL	
	96	6,193,709	02-27-2001	MIYAWAKI et al.	
	97	6,273,887	08-14-2001	YAMAUCHI et al.	
	98	6,277,117	08-21-2001	TETZLAFF et al.	
	99	6,328,703	12-11-2001	MURAKAMI	
	100	6,334,861	01-01-2002	CHANDLER et al.	
	101	6,350,264	02-26-2002	HOOVEN	
	102	6,352,536	03-05-2002	BUYSSE et al.	
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	105	6,451,018	09-17-2002	LANDS et al.	
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	119	2002/0115997 A1	08-22-2002	TRUCKAI et al.	
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	121	2002/0169392 A1	11-14-2002	TRUCKAI et al.	
	122	2002/0177848 A1	11-28-2002	TRUCKAI et al.	
	123	2003/0018327 A1	01-23-2003	TRUCKAI et al.	

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		Examiner Name	SHAY, DAVID M.		
Sheet	5	of	6	Attorney Docket Number	021447-000400US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	148	CORSON, S.L., "Two new laparoscopic instruments: Bipolar sterilizing forceps and uterine manipulator," <u>Medical Instrumentation</u> , 11(1):7-8 (1977).	
	149	BURTON, J.D.K., "New Inventions," <u>The Lancet</u> , pages 650-651 (1959).	
	150	NARDELLA, P.C., "Radio Frequency Energy and Impedance Feedback," <u>Proc. SPIE, Catheter-Based Sensing and Imaging Technology</u> , 1068: 42-48 (1989).	
	151	VALLFORS et al., "Automatically controlled bipolar electrocoagulation - 'COA-COMP'," <u>Neurosurg Rev.</u> , 187-190 (1984).	

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